Prism Editor Module

Updated August 2023

This document is intended to provide an overview of the Prism Editor Module for Associate and Topical Editors. The below pages, broken out into several sections, can serve as a guide while you are becoming acclimated with the new system.

Most of these sections are organized in such a way as to promote easy use while navigating the system. Should you encounter any issues, or feel that critical information is missing, please contact Dan McDonold at dmcdonold@optica.org.

<u>At First Glance (p.2)</u> <u>Editor Queue Display</u> (p.3) <u>Searching for a Manuscript</u> (p.4) <u>Details Page (p.6)</u> <u>Author and Staff/Editor Comments</u> (p.8) <u>Peer Review (p.9)</u> --<u>Monitoring Peer Review from</u> <u>Main Page (p.9)</u> --<u>Peer Review Page (p.9)</u> --Assigning Reviewers (p.11) --Saved Searches/Reviewers (p.15)

--<u>Monitor Reviewer Activity (p.17)</u>

--<u>Reviewer History Page (p.20)</u>

--<u>Co-review</u> (p.21)

Rating Reviews (p.21)

First MS Decision (p.22)

Final MS Decision (p.23)

Rereview Process (p.25)

Thanking Reviewers (p.26)

Appeals (p.28)

Editorial Review (p.30)

Transferred Manuscript (p.32)

Individual Editor Activity Report (p.36)

Transparent Peer Review (p. 33)

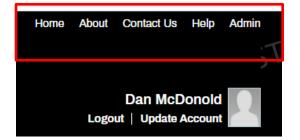
Papers Cascaded to Optics Continuum (p. 35)

At First Glance

To access the Editor module directly, use this URL: <u>https://prism.optica.org/editor</u>. After logging in to Prism, you may see multiple tabs if you've authored another paper or served as a reviewer, but the above url should drive you directly to the Editor tab.



On top of the page, you will see links to Optica.org and Optica Publishing Group, as well as a secondary toolbar with four tabs: Home (linked to the journal homepage), About (details about Prism), Contact Us, and Help.



Within the banner, to the far right, you will find a Reports link, and an Editor Roles link (used upon request by Optica staff). Your individualized editor statistics will be found on this page at a later date.

The Reports page currently includes an Individual Editor Activity report and will be built out at a later date to also include a resources section that will house guidelines, video tutorials, and other supporting material.



Editor Queue Display

Your Editor Queue will automatically contain all active papers assigned to you (excluding rejected and accepted papers). See "Searching for a Manuscript" below on refining your queue display or searching papers that you have completed (accepted, rejected, etc.).

| h Ma | nage My Manuscripts | | | | | |
|-----------------------|---|--------------------|--------------|--|---------------|-------------|
| Manuscript ID ❤ | <pre> Title </pre> | Review Deadline | ▲ Process | Current Process Due ✓ | ▲ Assigned | Action |
| 0E- 402149 | Solver-informed neural networks for spectrum reconstruction of colloidal | 8 Sep 2020 | Peer Review | 7 Aug 2020 | | View Detail |
| | quantum dot spectrometers Author: Jie Bao | | | | | Peer Review |

There are seven columns in your queue (left to right):

- Manuscript ID
- Title: in addition to the title, the feature (if applicable), corresponding author, editor and assistant editor (JOSA B only) are listed
- Review deadline: target date for completing the entire review process, up through final decision (accept/reject)
- Process: this is the current process for the manuscript
- Current Process Due: This date will turn red when overdue
- Assigned: name of the person for which an action is pending (editor, author, reviewers, staff)
- Action: contains a View Detail button (described below) and the current action button used to complete the step. Action buttons do not display in the editor queue for author and staff processes

You may sort your queue by clicking on a column label. The default sort is by Manuscript ID (highest to lowest).

Searching for a Manuscript

| Author First Name: | Regular Papers: 🗌 | тос торі | c: | |
|--|---|---|-------------------------|---------------|
| | E Feature: | All | | |
| uthor Last Name: | All 3D Image Acquisition and Display: Technology, | Process: | | |
| | Advanced Solid-State Lasers 2019 Applications of Adaptive Optics | All | | |
| nclude Co-Author: 🗌 | Category: | Paper Typ | be: | |
| ītle: | All | ▲II | | |
| | Journal: | Status: | | |
| nvited: | OE | ✓ ✓ | | |
| oc Id: 402540 | | In-Revie Publish Rejecte | ew ed d | |
| | | Withdra On Hold: | | |
| the wildcard "*" when searching on partial text in | a search field. | | Search | Re |
| | | | Total Documents: 1 / To | stal Dagas: 1 |

There are a number of search options available to you. Most of these are drop-down menus from which you can select. For free-form text fields, such as Title, use the * wildcard if initiating a partial search.

| Title: | |
|-------------------|--|
| Spatial Division* | |
| Invited: 🗖 | |
| Doc Id: | |

You may perform searches of your queue in several ways. If you'd like to see a list of all the papers you've handled during your entire tenure, including those that you have accepted for publication or rejected, pick the "All" option in the Status window and push the Search button.

Status:

| All | * |
|-----------|---|
| Hold | |
| Inactive | |
| In-Press | |
| In-Review | |
| Published | |
| Rejected | |
| Withdrawn | - |
| | |

In general, you will mainly be interested in papers currently under the in-review status, which encompasses all manuscripts active from the peer review step to the final staff step (final preproduction) before a paper is officially accepted and sent to press. Your queue will default to the "In Review" status display. If you select a different status, you can return to this view by selecting the "In-Review" option in the Status window. To revisit rejected papers, simply select the "Rejected" status.

To further refine your search, utilize other fields such as Doc ID, Author First Name/Author Last Name and Process. For example, to pull up all of your manuscripts at the Peer Review step, simply select "Peer Review" from the Process dropdown and search.

Process: All Final Manuscript Decision Final Preproduction Review First Manuscript Decision Option to Transfer to OSA Continuum Peer Review Peer Review Transfer Response Production Publication Transfer Response Publish

Details Page

The Details page can be accessed via the View Details button from your queue or the View Details link in the top banner on your editorial pages. This page contains several details about the submission as well as a history of its lifecycle, and will be referenced as header info throughout this document. The Details page will allow you to access details on a manuscript, including history, at any time. If, for example, a manuscript is at a staff action (preproduction review or production) or has been published and you need to see details, the Details page allows this

1. Manuscript Details

The top section lists the title, abstract, corresponding author, category, feature, manuscript files, related papers, etc. The bottom section houses seven expandable sections: additional authors, keywords, copyright/funding, Comments, Similarity Report, Reviewer Suggestions, and Spotlight. If a section is not default expanded, simply click on the "+" to expand the section, and the "-" to collapse it. A section can be collapsed by clicking the "-".

| Title | DEMO_Solver-informed neural | networks for spectrum reconstruction of co | lloidal quantum dot spectrometers |
|--------------------------|--|--|---|
| Abstract | Among many open challenges, de measurements is the key to succe have emerged as practical appros to ill-posedness of the problem. To neural network (NN). This approa spectral feature from the raw mea We investigated the reconstructio by the colloidal quantum dot (CQI | pectrometer based on the optical filter array has esigning efficient and stable algorithms to recov- ses. Of many existing spectrum reconstruction aches to the spectrum reconstruction problem, is alleviate this issue, we propose a novel recor- ch consists of two components: (1) an existing usurements (2) a multilayer perceptron to build in performance of the proposed method on a sy D) spectrometer. The results demonstrate the re- n, the proposed reconstruction method shows e ers. | er the input spectrum from the raw algorithms, regularization-based algorithm but the reconstruction is still challenging struction method based on a solver-inforn spectrum reconstruction solver to extract a map from the input feature to the spectr inthetic dataset and a real dataset collect construction accuracy and robustness of |
| Corresponding Author | Dan McDonold, The Optical Socie 9086 🕿 | ety, zzdmcdonold@zz.osa.org, 1013145, 🍈 h | ttps://sandbox.orcid.org/0000-0001-6167- |
| Editor Assigned | Deputy: Xiang Liu, zzxiang.liu@zz Associate: Thomas Gerrits, zzt4g | | |
| Topic Category | Paper type | Special Issue | Invited |
| Integrated Optics | research article | | No |
| Manuscript files | Additional files | Supplemental Material | Related Papers/Resubmissions |
| 402540.pdf (23 Aug 2021) | Cover Letter.pdf | Document | |
| Authors | | | |
| Keywords | | | |
| Copyright & Funding | | | |
| Comments | | | |
| Similarity Report | | | |

2. Manuscripts History

The history is stored in a table containing a list of all the actions you (and staff) have made on a paper. The information on this page is divided into four columns (left to right):

- Process: a list of the actions made on the paper, listed in chronological order.
- Start date: the date a particular process began.
- End date: the date a process was completed. No date = pending.
- Process result: the outcome of each process.

Click on the plus (+) sign in the Process column to view emails, including decision letters for a particular step. Some steps do not have emails tied to them, so expanding the row will not pull up information.

For easy access, all assigned reviewers (whether or not they agreed and completed a review) for both Peer Review and Rereview are housed right below this process table. This table stores both the status of the request and the review.

To access a review, click on the linked recommendation text for that reviewer. This will drive you to the review summary page to see the reviews.

| | | History | | | | | | | | |
|-------------------|---|---|----------------------|--------------|-------------|------|---|-------------------|------------------|--|
| | - | Process | | Start Date 🗘 | End Dat | e | Process Result | Completed By | | |
| Process History | | + Peer Review | | 23 Jun 2020 | | | | | | |
| 1 TOCCSS THISTORY | | + Assign Editor | | 23 Jun 2020 | 23 Jun 2020 | | Editor Assigned | John Koshel | | |
| | | + Quality Check | | 21 Jun 2020 | 23 Jun 2020 | | Quality Check Passed | Anne Grace Dinapo | | |
| | | + Submit Manuscript | | 21 Jun 2020 | 21 Jun 2020 | | Submission Complete | Minggang Wan | | |
| | | + Other emails | | | | | | | | |
| | | History Detail - Peer Review Journal OE | Reviewer Adam Ste | | | | Request Status Sent (23 Jun 2020) Agree (24 Jun 2020) | | Review Manuse | Status sript Rejected (30 Jun 2020) |
| | | OE | Christoph | er Fugger | | | Sent (23 Jun 2020) Agree (09 Jul 2020) | | Waiting | , Due (23 Jul 2020) |
| Review history | | OE | Michael F | tenfro | | | Sent (23 Jun 2020) Agree (24 Jun 2020) | | Manus | cript Rejected (08 Jul 2020) |
| | | Rereview | | | | | | | | |
| | | Journal | Reviewer | | | | Request Status | | Review | Status |
| | | Appeal | | | | | | | | |
| | | Appeal Submitted | | | | Appe | eal Result | | | Decision By |

Thank Reviewers

The View Details page also contains a link "Thank Reviewers" above the history table. Click on this to access the Thank Reviewers page. More details on this process are provided in the Thank Reviewers section.

Spotlight on Optics

You may also nominate a manuscript for Spotlight on Optics program at any time through the View Details page. You will see "Nominate for Spotlight on Optics" with a checkbox right above the Thanks Reviewers link.

Author and Staff/Editor Comments

Authors can add a comment for the editorial office during manuscript submission or resubmission. Moreover, both editors and staff can add internal comments (blind to authors) on a submission. These comments are accessible in the header information on your editorial pages and the Details page, under the Comments section.

The author comments are divided appropriately so that you can differentiate those pertaining to the initial submission and those submitted at the various revision steps (author, content, and copy).

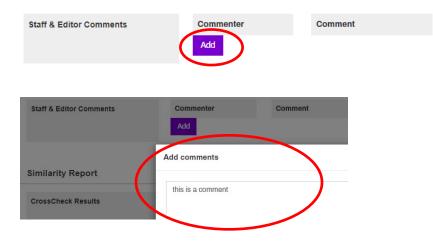
"Submit Manuscript" under Process = initial submission; "Author Revision" and "Content Revision" = revision.

| Author Comments | | | | |
|-----------------|----------------------|--------------|---------------------|--------------|
| | Process Name | Author Name | Comment | Comment Date |
| | Submit Manuscript | Ron Morreale | COMMENT | 23 May 2014 |
| | Author Revision | Ron Morreale | my author revisions | 27 Jun 2014 |
| | Content Revision | Ron Morreale | content revisions | 27 Jun 2014 |

The Staff & Editor Comments section is used to record notes that staff and/or the editor believe are important to the processing of a paper. As one example, if a reviewer notifies staff of their intent to submit a review late, staff will copy these details into the comments section.

To add a comment, simply click the Add button.

Add text in the ensuing dialog box and press the Save button



Peer Review

Monitoring Peer Review from your main queue

After contacting reviewers, their names will appear in plain text in the Assigned column in your Editor Queue.

To glean an overall picture of peer review activity on your assigned manuscripts, colored icons are tied to each reviewer name to represent activity status.

- Blue check = reviewer agreed to review the paper
- Red "x" = reviewer declined the request
- Gray comment box = reviewer supplied text comments when submitting their response
- Orange clock = reviewer requested more time to complete the review
- Green right arrow = reviewer submitted their review

You may also see the details of the review process or respond to an extension request at any time by clicking on the Peer Review button and viewing the Monitor Reviewer Activity table.

Peer Review page

When a manuscript is assigned to you, a Peer Review action button will appear in the Action column. You may immediately find these by searching on "Peer Review" under Process.

Below the manuscript header section, you will see several buttons (left to right):

- Reject without Review
- Assign Assistant Editor (JOSA B only)
- Decline to Handle
- Assign Reviewers
- Monitor Reviewer Activity

Assign Assistant Editor

Decline To Handle

Assign Reviewers

Monitor Reviewer Activity

a. Reject without Review

Should a paper not warrant peer review (eg, poor English, outside of scope), click on the Reject without Review button.

Several editable emails are available to you. Select the desired email, edit to add your justification, and hit Send. The rejection email will be sent to the authors. You may also cc or bcc yourself, the Editor-in-Chief, or staff on this email.

| Select decision reason Manuscript Rejected (basic) Manuscript Rejected (cesubmission) Manuscript Rejected (cesubmission) Manuscript Rejected (cesubmission) Manuscript Rejected (cesubmission) Manuscript Rejected (cout of scope) Title: DEMO_Solver-informed neural networks for spectrum reconstruction of colloidal Juantum dot spectrometers Juntoh: C Dan McDonold: The quality of English in the above-mentioned manuscript is such that the manuscript annot be reviewed in its present form. We suggest that you work with a colleague or a professional anguage editing service to evise the manuscript so that it will be understandable to our reviewers. If you submit a Juntabi trevesed manuscript, it will be treated as a new submission and subjected to our previeces such as the one at https://languageediting.osa.org are available for a fee. Thank you for submitting your manuscript to the Optics Express. We hope that we will be able to serve you in the future. | Select decision reason Manuscript Rejected (poor English) Manuscript Rejected (resubmission) Manuscript Rejected (cesubmission) Manuscript Rejected (out of scope) Title: DEMO_Solver-informed neural networks for spectrum reconstruction of colloidal upantum dot spectrometers Unthor: Dan McDonold: The quality of English in the above-mentioned manuscript is such that the manuscript annot be reviewed in its present form. We suggest that you work with a colleague or a professional anguage editing service to evise the manuscript so that it will be understandable to our reviewers. If you submit a uitably revised manuscript, it will be treated as a new submission and subjected to our previewes such as the one at https://languageediting.osa.org are available for a fee. Thank you for submitting your manuscript to the Optics Express. We hope that we will be be to serve you in the future. | | | | |
|--|---|--|---|--|--|
| Manuscript Rejected (poor English) Manuscript Rejected (poor English) Manuscript Rejected (cut of scope) Title: DEM_Solver-Informed neural networks for spectrum reconstruction of colloidal quantum dot spectrometers Author: Dan McDonold: The Optical Society Dear Dr. Dan McDonold: The quality of English in the above-mentioned manuscript is such that the manuscript cannot be reviewed in its present form. We suggest that you work with a colleague or a professional language editing service to revise the manuscript to that it will be understandable to our reviewers. If you submit a suitably revised manuscript, it will be treated as a new submission and subjected to our normal review process. Should you require professional assistance, English polishing services such as the one at https://anguageediting.osa.org are available for a fee. Thank you for submitting your manuscript to the Optics Express. We hope that we will be able to serve you in the future. | Manuscript Rejected (basic) | Manuscript Rejected (poor English) | ~ | | |
| Manuscript Rejected (basic) Manuscript Rejected (poor English) Manuscript Rejected (ue of scope) Title: DEM_Solver-informed neural networks for spectrum reconstruction of colloidal quantum dot spectrometers Author: Dan McDonold: The optical Society Dear Dr. Dan McDonold: The quality of English in the above-mentioned manuscript is such that the manuscript cannot be reviewed in its present form. We suggest that you work with a colleague or a professional language editing service to revise the manuscript is that it will be understandable to our reviewers. If you submit a suitably revised manuscript, it will be treated as a new submission and subjected to our moral review process. Should you require professional assistance. English polishing services such as the one at https://anguageediting.osa org are available for a fee. Thank you for submitting your manuscript to the Optics Express. We hope that we will be able to serve you in the future. | Manuscript Rejected (basic) Manuscript Rejected (poor English) Manuscript Rejected (ue of scope) Title: DEM_Solver-informed neural networks for spectrum reconstruction of colloidal quantum dot spectrometers Author: Dan McDonoid: The quality of English in the above-mentioned manuscript is such that the manuscript and the manuscript of the two with a colleague or a professional language editing service to revise the manuscript, it will be understandable to our reviewers. If you submit a sultably revised manuscript, it will be treated as a new submission and subjected to our moral review process. Should you require professional assistance. English polishing services such as the one at https://anguageediting.osa.org are available for a fee. Thank you for submitting your manuscript to the Optics Express. We hope that we will be able to serve you in the future. | Select decision reason | rq | | |
| Manuscript Rejected (resubmission) Manuscript Rejected (out of scope) Title: DEMO_Solver-informed neural networks for spectrum reconstruction of colloidal quantum dot spectrometers Author: Dan McDonold; The Optical Society Dear Dr. Dan McDonold: The quality of English in the above-mentioned manuscript is such that the manuscript cannot be reviewed in its present form. We suggest that you work with a colleague or a professional language editing service to revise the manuscript so that it will be understandable to our reviewers. If you submit a suitably revised manuscript, it will be treated as a new submission and subjected to our normal review process. Should you require professional assistance. English polishing services such as the one at https://languageediting.osa.org are available for a fee. Thank you for submitting your manuscript to the Optics Express. We hope that we will be abe to serve you in the future. | Manuscript Rejected (resubmission) Manuscript Rejected (out of scope) Title: DEMO_Solver-informed neural networks for spectrum reconstruction of colloidal quantum dot spectrometers Author: Dan McDonold; The Optical Society Dear Dr. Dan McDonold: The quality of English in the above-mentioned manuscript is such that the manuscript cannot be reviewed in its present form. We suggest that you work with a colleague or a professional language editing service to revise the manuscript so that it will be understandable to our reviewers. If you submit a suitably revised manuscript, it will be treated as a new submission and subjected to our normal review process. Should you require professional assistance. English polishing services such as the one at https://languageediting.osa.org are available for a fee. Thank you for submitting your manuscript to the Optics Express. We hope that we will be abe to serve you in the future. | Manuscript Rejected (basic) | 5 | | |
| Manuscript Rejected (out of scope) Title: DEMO_Solver-informed neural networks for spectrum reconstruction of colloidal quantum dot spectrometers Author: Dan McDonold; The Optical Society Dear Dr. Dan McDonold: The quality of English in the above-mentioned manuscript is such that the manuscript cannot be reviewed in its present form. We suggest that you work with a colleague or a professional language editing service to revise the manuscript so that it will be understandable to our reviewers. If you submit a suitably revised manuscript, it will be treated as a new submission and subjected to our normal review process. Should you require professional asstance. English polishing services such as the one at https://languageediting.osa.org are available for a fee. Thank you for submitting your manuscript to the Optics Express. We hope that we will be abe to serve you in the future. | Manuscript Rejected (out of scope) Title: DEMO_Solver-informed neural networks for spectrum reconstruction of colloidal quantum dot spectrometers Author: Dan McDonold; The Optical Society Dear Dr. Dan McDonold: The quality of English in the above-mentioned manuscript is such that the manuscript cannot be reviewed in its present form. We suggest that you work with a colleague or a professional language editing service to revise the manuscript so that it will be understandable to our reviewers. If you submit a suitably revised manuscript, it will be treated as a new submission and subjected to our normal review process. Should you require professional asstance. English polishing services such as the one at https://languageediting.osa.org are available for a fee. Thank you for submitting your manuscript to the Optics Express. We hope that we will be abe to serve you in the future. | Manuscript Rejected (poor English) | | | |
| Title: DEMC_Solver-informed neural networks for spectrum reconstruction of colloidal quantum dot spectrometers Author: Dan McDonold; The Optical Society Dear Dr. Dan McDonold: The quality of English in the above-mentioned manuscript is such that the manuscript cannot be reviewed in its present form. We suggest that you work with a colleague or a professional language editing service to revise the manuscript so that it will be understandable to our reviewers. If you submit a suitably revised manuscript, it will be treated as a new submission and subjected to our normal review process. Should you require professional assistance. English polishing services such as the one at https://languageediting.osa.org are available for a fee. Thank you for submitting your manuscript to the Optics Express. We hope that we will be able to serve you in the future. | Title: DEMC_Solver-informed neural networks for spectrum reconstruction of colloidal quantum dot spectrometers Author: Dan McDonold; The Optical Society Dear Dr. Dan McDonold: The quality of English in the above-mentioned manuscript is such that the manuscript cannot be reviewed in its present form. We suggest that you work with a colleague or a professional language editing service to revies the manuscript so that it will be understandable to our reviewers. If you submit a suitably revised manuscript, it will be treated as a new submission and subjected to our normal review process. Should you require professional assistance. English policining services such as the one at https://languageediting.os.org are available for a fee. Thank you for submitting your manuscript to the Optics Express. We hope that we will be | Manuscript Rejected (resubmission) | 40 | | |
| quantum dot spectrometers Author: Dan McDonold; The Optical Society Dear Dr. Dan McDonold; The quality of English in the above-mentioned manuscript is such that the manuscript cannot be reviewed in its present form. We suggest that you work with a colleague or a professional language editing service to review the manuscript is othat it will be understandable to our reviewers. If you submit a suitably revised manuscript, it will be treated as a new submission and subjected to our normal review process. Should you require professional assistance, English polishing services such as the one at https://languageediting.cs. Rupish polishing services use and the police Express. We hope that we will be able to serve you in the future. | quantum dot spectrometers Author: Dan McDonold; The Optical Society Dear Dr. Dan McDonold: The quality of English in the above-mentioned manuscript is such that the manuscript cannot be reviewed in its present form. We suggest that you work with a colleague or a professional language editing service to revise the manuscript so that it will be understandable to our reviewers. If you submit a suitably revised manuscript, it will be treated as a new submission and subjected to our normal review process. Should you require professional assistance. English polishing services such as the one at https://languageediting.osa.org are available for a fee. Thank you for submitting your manuscript to the Optics Express. We hope that we will be abe to serve you in the future. | Manuscript Rejected (out of scope) | | A | |
| | | Dear Dr. Dan McDonold: The quality of English in the above-mentioned cannot be reviewed in its present form. We suggest that you work with a colleague or revise the manuscript so that it will be underst suitably revised manuscript, it will be treated a ormal review process. Should you require po- services such as the one at https://languagee Thank you for submitting your manuscript to th able to serve you in the future. | a professional language editing so andable to our reviewers. If you s as a new submission and subjecte ofessional assistance, English pol diting osa.org are available for a fo | ervice to ubmit a d to our shing ee. | |
| Submit | | | | | |

b. **Decline to Handle.** If you cannot handle a manuscript (eg, outside of expertise, unavailable), please use this option and provide a comment with your reason. The paper will be sent back to the Assign Editor step for reassignment.

| Decline Reason: | O Unavailable |
|-----------------|------------------------|
| | O Not Expertise |
| | O Conflict of Interest |
| | |
| Comment: | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | Submit |
| | |

c. Assign Reviewers

Select the Assign Reviewers button to begin searching and assigning reviewers.

You will notice two layers, the top with four tabs, and the bottom with three.

The top tabular layer contains (left to right):

• *Files to Reviewers:* you have the option to choose particular files to send to reviewers. The manuscript and supplemental files will automatically be routed. Some files will be defaulted to checked. You can check other files such as cover letter, original reviewers, and decision letters, etc.

| Files to Reviewers | Reviewer Pool for Manuscript | Reviewer Suggestions/Conflicts | Reviewers Already Contacted |
|-----------------------------------|--|--|---|
| Files | | | |
| The current manuscript available. | and supplementary material files will be | e available to the reviewers automatically | . Please select from below if you would like othe |
| Cover Letter (23 Aug | g 2021) | | |

• *Reviewer pool*: the system requires a reviewer be first added to the pool before a request is sent. This feature also allows you to house a number of reviewers for later use should you need to assign additional reviewers.

| Files to Reviewers | Reviewer Pool for Manuscript | Reviewer Suggestions/Conflicts | Reviewers Already Contacted | |
|--|------------------------------|--------------------------------|-----------------------------|--|
| Carmelita Washington Keith Jackson Nicole Williams-Jones | | | | |
| | - | | Delete Assign | |

Check the box next to the name(s) in the pool, then hit the Assign button. An editable email will pop-up. You may edit the salutation lines in the text box(es) above the subject line. Hit the Send button.

| Assign Reviewer | | | × |
|--------------------|--------------------------------|---|---|
| Dr. | Jackson | | |
| Dr. | Washington | | |
| Subject: Optica ma | nuscript review: 244084 | | |
| Body: | | | |
| Optica is a new je | ournal from OSA that seeks | submitted to Optica that might be of interest to you. This manuscript is a letter. s to publish only articles that have the highest impact and will be of broad nities. I would like for you to consider reviewing this research article. | |
| in case you have | | publication, I would appreciate receiving your review within 14 days. However, ould much prefer that you take a little longer to prepare a thoughtful, careful | Ш |
| Title: Imaging wit | h partially coherent light: el | lementary-field approach | |
| Abstract: Bright-f | ield and dark-field imaging | with snatially nartially coherent light is considered, with the aim of improving | |

• *Reviewer Suggestions/Conflicts*. An author is required to select three preferred reviewers as part of their submission. They also have the option to identify non-preferred reviewers. These will be listed in this section, and their names linked to a reviewer history page.

Should you wish to use any of the preferred reviewers, you may add them to the pool directly from this tab. Once a preferred reviewer is added to the pool, the checkbox will be removed from that reviewer so you can no longer assign them.

| Files to Reviewers | Reviewer Pool for Manuscrip | t Reviewer Suggestions/Conflicts | Reviewers Already Contacted |
|--|---|--|-----------------------------|
| The following names we | re provided by the <u>author during</u> s | ubmission. Please use with discretion. | |
| Preferred reviewe | rs | Non-preferred | d reviewers |
| Carmelita Washington Keith Jackson Nicole Williams-Jones | | Michael Schu | |
| | | | Add to Reviewer Pool |

• *Reviewers already contacted.* A list of previously contacted reviewers can be found here to reduce page navigation and simplify future reviewer searches on a manuscript.

| Files to Reviewers | Reviewer Pool for Manuscript | Reviewer Suggestions/Conflict | Reviewers Already Contacted |
|---|------------------------------|-------------------------------|-----------------------------|
| Carmelita Washin Keith Jackson | gton | | |
| Nicole Williams-Jo | ones | | |

The bottom tabular layer is where you will conduct your search. This contains three tabs (left to right):

• Search Reviewers: several fields are available to you for your search. The research interests field utilizes google-like syntax---the ? icon next to Search Tips gives a helpful summary. All fields will run a search against the full Optica database.

| Search Reviewers Search Authors of Related Papers ? | Add Reviewers | Saved Searches & Reviewers | | |
|--|---------------|-------------------------------------|----------|------------------|
| Search Tips 🚱 | | | | |
| First Name: | Last | Name: | | |
| | | | | |
| Email Address: | Cou | ntry: | | |
| | | | ~ | |
| Affiliation: | Res | earch Interest: | | |
| | "R | aman spectroscopy" NEAR "optical tw | veezers" | |
| | | | | |
| Only include those with reviewer status. | | | Reset | Search Reviewers |
| Only include those with reviewer status. Name and save this search for future use. | | | Reset | Search Reviewers |

The search results will pull up the first 200 results available. You will need to refine your list to narrow the results. The results will list those individuals who match all criteria entered and will be sorted by last name. Each listing will contain (from left to right):

- Reviewer name (linked to a reviewer history page), Institution, Comments (eg, indicating Editor status);
- Research Interests;
- Optica Publishing Group Reviewer status (indicated by Yes or No) and average reviewer rating;
- # of review requests and # of reviews completed in last 12 months;
- The same for the last 30 days;
- A selection column

To assign a reviewer to your pool, select the check box to the far right and click "Add Selected to Reviewer Pool".

| | | Add | Selected To Reviewer Pool | Add Selected To My Saved Reviewers |
|---|---|--------------------------------------|---|--|
| Reviewer Name Affiliation Editor comments | Research interests | Reviewer Avg. Rating (Last 2 yrs) | Last 2 yrs # Requests # Completed | Last 30 days # Requests # Completed Select |
| Winzer Peter J Nubis Communications | optical receiver design, optical filter optimization, modulation formats, PMD, Raman amplifier, free-space laser communication, space- borne laser communication | Yes O | 2 0 | |
| Previous 1 Next | | Add | Selected To Reviewer Pool | Add Selected To My Saved Reviewers |

If you see "temporary hold" or "do not contact" in the Reviewer column, this indicates that the individual is either an editor or does not wish to review papers. You will not have the option to select and assign such individuals.

| | | Reviewer | Last 2 yrs | Last 30 days | |
|--------------------------------|--------------------|--------------------------|-------------|--------------|--------|
| Reviewer Name | | | # Requests | # Requests | |
| Affiliation Editor comments | Research interests | Avg. Rating (Last 2 yrs) | # Completed | # Completed | Select |
| Mittleman Daniel M | | Do not contact | 0 | 0 | |
| Brown University | | 0 | 0 | 0 | |

• Search Authors of Related Papers: this tool looks for authors of Optica Publishing Group papers similar to this submission

| Search | Reviewers | Search Authors of Related Pape | rs 😮 | Add Reviewers | Saved Searches | & Reviewers | | |
|---|---|--|--------------------|-----------------------------------|----------------------|---|-----|-----------------------------------|
| Look for | ok for authors of Optica Publishing Group journal articles similar to this submission. Add qualified candidates to your reviewer pool. | | | | | | | |
| Matchir | Matching articles published in Optica Publishing Group journals | | | | | | | Publication (Year) |
| Topic Abso Publi https Abst | rs: rption spectrose ished article: ://doi.org/ ract: | ned neural networks for spectr copy, Feature extraction, Hyperspectra re spectrometer based on the optical | al imaging | t, Neural networks, Qua | antum dots, Reconstr | uction algorithms | 5 | OE (2020) nallenges, designing |
| effici algor due t appro perce a rea | Recently, the miniature spectrometer based on the optical filter array has received much attention due to its versatility. Among many open challenges, designing efficient and stable algorithms to recover the input spectrum from the raw measurements is the key to success. Of many existing spectrum reconstruction algorithms, regularization-based algorithms have emerged as practical approaches to the spectrum reconstruction problem, but the reconstruction is still challenging due to ill-posedness of the problem. To alleviate this issue, we propose a novel reconstruction method based on a solver-informed neural network (NN). This approach consists of two components: (1) an existing spectrum reconstruction solver to extract the spectral feature from the raw measurements (2) a multilayer perceptron to build a map from the input feature to the spectrum. We investigate the reconstruction performance of the proposed method on a synthetic dataset and a real dataset collected by the colloidal quantum dot (CQD) spectrometer. The results demonstrate the reconstruction accuracy and robustness of the solver-informed NN. In conclusion, the proposed reconstruction method shows excellent potential for spectral recovery of filter-based miniature spectrometers. | | | | | | | |
| Auth | ors: | | | | | | | |
| | AUTHORS | | RESEA | RCH INTERESTS | | REVIEW HIST | ORY | |
| | Jie Bao Tsinghua Univ | ersity | quantum spetrom | n dot materials, novel n eter. | nini-sized | Active reviews: Last completed 30 days: 0/0 Last 2 yrs: 0/0 Avg. Rating: Avg. review tim | : | |

• Add reviewers: if you cannot find a reviewer in the database, then you can add them through Prism.

Click on the "Add Reviewers" tab.

Enter the email address and click the "Search Reviewers" button.

| Search Reviewers | Search Authors of Related Papers 😯 | Add Reviewers | Saved Searches & Review | wers |
|------------------|------------------------------------|---------------|-------------------------|------|
| Email Address: | | | | |
| hguthm@yahoo.co | m | | | |
| | | S | earch Reviewers | |

Doviowore

Once the system has confirmed the record does not exist, add the additional required info (name, affiliation, country).

| Add New Reviewer | | |
|---|---|---------------------|
| Email: hguthm@yahoo.com Email not found, please add the required information a | about the reviewer to add them to our system. | |
| First Name: | Middle Name: | Last Name: |
| Affiliation: | Country: | |
| | ~ | |
| | | Cancel Add Reviewer |

Once the record is added, you can proceed with assigning the reviewer to your pool.

• Saved searches & reviewers:

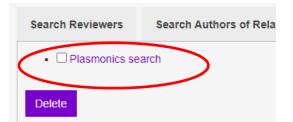
Saved Searches: you can save specific search criteria for future use.

First, populate the appropriate search fields with the desired criteria.

Name the search and save it. This saved search will be accessible to you on any manuscript.

| Research Interest: |
|------------------------------|
| plasmonics AND metamaterials |
| |
| |
| ave |
| |

Once saved, Navigate to Saved Searches and Reviewers, and click on the name of your search. The system will drive you to the Conduct a Search tab with the appropriate search fields populated. Hit Search.



Saved Reviewers: this feature allows you to save a particularly impressive reviewer for future use so you do not have to continually search for them. If using this feature, be particularly careful not to overuse the reviewers.

After conducting a reviewer search, you will see a button "Add Selected to My Saved Reviewers" located to the right of the "Add Selected to Reviewer Pool" button, at the top and bottom of the search results.

| Reviewers | | | | | |
|---|---|--------------------------------------|---|---|-----------------|
| | | Add | Selected To Reviewer Pool | Add Selected To My | Saved Reviewers |
| Reviewer Name Affiliation Editor comments | Research interests | Reviewer Avg. Rating (Last 2 yrs) | Last 2 yrs # Requests # Completed | Last 30 days # Requests # Completed | Select |
| Jopson Robert M. Nokia Bell Labs | polarization mode dispersion; frequency shifting; wdm systems; my interest in "phase | Yes 4 | 1 1 | 0 0 | |

Once added to your saved reviewers list, you can add comments by clicking on the pencil icon. The comments will appear next to the reviewer name, so be mindful to minimize the length.

| Search Re | eviewers | Search Authors of Related | Papers 🕜 | Add Reviewers | Saved Searches & Reviewers |
|-----------|----------------------------|---------------------------|----------|---------------|----------------------------|
| • 🗆 PI | asmonics se | earch | | | |
| Delete | | | | | |
| My save | d reviewe | ers | | | |
| | na Tauke-P bert Fickler | | | | |
| | obert Jopson | | | | |
| Delete | Search | Add to Reviewer Pool | | | |

To add a saved reviewer to your pool, simply navigate to the "Saved Searches & Reviewers" tab, check the box next to the name, and hit the "Add to Reviewer Pool" button.

**Note: Before adding a saved reviewer to your pool, click on the reviewer name to access the reviewer history. This will tell you whether they have current reviews pending or have recently completed one. See the Reviewer History section below for more details. You may also select the reviewer and hit the "Search" button to access the same details regarding pending or recently completed reviews.

Saved searches and reviewers are accessible across manuscripts. We are working on providing this functionality through your Reports page to access outside of a manuscript.

d. Monitor Reviewer Activity

When you assign a reviewer, Prism will drive you to the Monitor Reviewer Activity page. You may access this page at any time by clicking the "Monitor Reviewer Activity" button on the Peer Review page. The page will list the reviewer name, request status, request reminder, reviewer status, review reminder, and a column to close a particular review.

| Reject without Review | Assign Assistant Editor | Decline To Handle | Assign Reviewers | Monitor Reviewer Activity | | |
|-----------------------|---|--------------------------|-----------------------------------|---|-------------------------------|--------------------|
| Reviewer | Request Status | Send Request Reminder | Review Status | Send Review Reminder Send Last Call Reminder | Close Individual Review | Complete Review |
| Carmelita Washington | Sent (23 Aug 2021) Agree (23 Aug 2021) | | Accepted with Revisions (23 2021) | Aug | Reopen | |
| Keith Jackson | Sent (23 Aug 2021) Agree (23 Aug 2021) | | Manuscript Rejected (23 Aug | g 2021) | Reopen | |
| Nicole Williams-Jones | Sent (23 Aug 2021) Waiting, Due (26 Aug 2021) | | | | Close | Respond |
| Kelly Cohen | Sent (23 Aug 2021) Waiting, Due (26 Aug 2021) | | | | Close | Respond |
| John Tsai | Sent (23 Aug 2021) Extension Requested (31 Oct 202 | 1) | Waiting, Due (06 Sep 2021) | | Close | Review |
| Rebecca Robinson | Sent (23 Aug 2021) Waiting, Due (26 Aug 2021) | | | | Close | Respond |

A pending response will be denoted by "Waiting, Due (XXX)" in the request response or review status column.

| Nicole Williams-Jones | Sent (23 Aug 2021) Waiting Due (26 Aug 2021) | |
|-----------------------|---|--|
| | Waiting, Due (26 Aug 2021) | |

If a reviewer responds affirmatively to a request, the deadline for the review will appear in the Review status column.

| Rebecca Robinson | Sent (23 Aug 2021) | Waiting, Due (06 Sep 2021) | |
|------------------|---------------------|----------------------------|--|
| | Agree (23 Aug 2021) | | |

Linked text (in purple) indicates a link to a page or simple text box. These would include comments submitted by a reviewer when responding a request, a request for more time to review, or a submitted review. *Note*: a "too busy" request response that is linked may have a suggestion for another reviewer, so do not overlook these.

| Carmelita Washington | Sent (23 Aug 2021) Agree (23 Aug 2021) | Accepted with Revisions (23 Aug 2021) |
|----------------------|---|---------------------------------------|
| Keith Jackson | Sent (23 Aug 2021) Agree (23 Aug 2021) | Manuscript Rejected (23 Aug 2021) |

If you see an "Extension requested" link under Request status, click on this to view and approve/decline the extension.

| John Tsai | Sent (23 Aug 2021) |
|-----------|-----------------------------------|
| | Extension Requested (31 Oct 2021) |
| | Extension Requested (ST Oct 2021) |

The Review Status column will list "Waiting, Due (XXXX)" when a review is pending. Once a reviewer uploads their comments and recommendation, this text will be replaced by the recommendation text (eg, Major Revisions Required). Click on this linked text to see the Review Summary page.

| Nicole Williams-Jones | Sent (23 Aug 2021) Agree (23 Aug 2021) | Major Revisions Required (23 Aug 2021) |
|-----------------------|---|--|
| Kelly Cohen | Sent (23 Aug 2021) Waiting, Due (26 Aug 2021) | |
| John Tsai | Sent (23 Aug 2021) Extension Requested (31 Oct 2021) | Waiting, Due (06 Sep 2021) |

To send a request or review reminder to a reviewer, check on the appropriate box in either the "Send Request Reminder" or "Send Review Reminder" columns.

The action button for these reminders is the column header. Click on one of these to pull up an editable email box.

Edit the email and hit the Send button. You may edit the salutation line using the text boxes above the subject line.

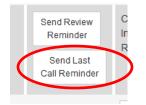


You may send multiple requests at once. However, if you plan on customizing a reminder per reviewer, then only send on an individual basis.

Last Call Reminder

If you are ready to make a decision but have one or more pending reviews, you have the option of sending a "Last call" reminder that informs the reviewer of your intention to make a decision in the next 24 hours. Partial text of the email can be found below for your reference.

To send a Last Call reminder email, simply tick the box for that reviewer and click "Send Last Call Reminder" in the Review column header.



"Thank you for agreeing to review this manuscript submitted to XXXX. I have received other reviewer comments on this paper, but I would very much like to know your recommendations as well. Will you be able to submit your review in the next 24 hours so that I may send the author a decision? "

If there is no indication that a reviewer is going to respond to your request or submit their review, click "Close" under "Close Individual Review". This will stop any automatic reminder emails and will remove the manuscript from that reviewer's Prism queue.

| Close Individual Review |
|----------------------------|
| Close |
| Close |

Reassigning a Reviewer

Prism will allow you to reassign reviewers should they later become available after the initial request. Once a request is declined, a "Reassign" button will appear for your use. Click on this button to pull-up an editable review request email to send.

| Kelly Cohen Sent (23 Aug 2021) Too Busy (23 Aug 2021) |
|--|
|--|

Completing Peer Review

To make a decision on a manuscript, you must first hit the "Complete Peer Review" button.



This will close down the peer review process, so pending requests and reviews will no longer see the manuscript in their My Reviews page. When you do this, any unresponsive reviewers will no longer be able to submit his/her review to our system. Once Peer Review is closed, the system will move you to the Rate Reviews page.

Reviewer History

Most reviewer name listings should be linked to their corresponding reviewer history page. The Reviewer History page includes the following:

• The top section of the page will list: reviewer contact info, average rating (4 is good, 1 is poor), research interests, comments tied to the reviewer account (eg, editor status), date the last review was completed, # of requests received in past 12 months, # of reviews completed in the past 12 months, and average review time (in days.

| Reviewer | Peter J. Winzer (166785 Alcatel-Lucent Bell Labs dmcdonold1@yahoo.com | | | |
|----------------------------|---|---|----------------------------|--|
| Average Rating | 4.00 | | | |
| Research interests | optical receiver design, optical filter optimization, modulation formats, PMD, Raman amplifier, free-space laser communication, space-borne laser communication | | | |
| Comments | | | | |
| | | | | |
| Date last review completed | Number of requests received in past 12 months | Number of reviews received in past 12 months | Average review time (days) | |
| 27 Jun 2014 | 21 | 5 | 1 | |

The bottom table section lists every paper the reviewer has been asked to review in the past six years. This list is divided into nine columns and represents two processes: the review request and the review itself. Columns 2 – 4 pertain to the request. Columns 5 – 7 pertain to the review. From left to right:

Journal Code: lists the journal abbreviation.

Request

- Request Sent: the date a particular request was made
- Response the particular response to a request. The standard responses for reviewers when they decline are "too busy," "not in area of expertise," "out of office," and "other"
- Days The # of days it took the reviewer to respond to the request

Review

- Review start: the date the review kicked-off (= the date the reviewer responded affirmatively to the request)
- Response: the reviewer recommendation
- Days The # of days it took the reviewer to complete the review

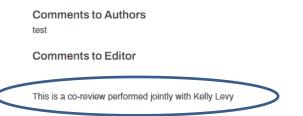
A blank or 'N/A" under either Response column indicates the reviewer never responded to the request or submitted their review.

| Journal Code | Request Sent | Response | Days | Review Start | Response | Days |
|--------------|--------------|----------|------|--------------|--------------------------------------|------|
| JOSAA | 17 Jun 2014 | | 1 | | | |
| OPTICA | 18 Jun 2014 | Agree | | 18 Jun 2014 | Accept with minor/optional revisions | |
| OPTICA | 17 Jun 2014 | Agree | 1 | 18 Jun 2014 | Reject | |

Co-Reviewers

We allow a reviewer to add a co-reviewer to a review. The reviewer will have this option when submitting the review in Prism. The co-review will be shared in the system with the primary review, so the Editor will see only one review in Prism.

Should a reviewer identify a co-reviewer, the editor will see "This is a co-review performed by..." at the bottom of the review summary page.



The co-reviewer will be listed beneath the primary review where reviews appear.

| 5) Dia die reviewer submit on or near die | ucaulilic ! | |
|---|---------------------|---|
| Reviewer/Co-Reviewer | Status | F |
| John Tsai Michael Schu | Accept as submitted | Γ |
| | | L |

Rate Reviews

When rating the usefulness of a review please consider the below questions

Rating Guidelines

| | | wher judgment with references or examples? |
|-----------------------|--------------------------|--|
| Reviewer | Status | Review Rating |
| Carmelita Washington | Accepted with Revisions | Select one |
| Keith Jackson | Manuscript Rejected | Select one |
| Nicole Williams-Jones | Major Revisions Required | Select one |
| | | |
| | | Save Ratings |
| | | |

Once peer review or rereview is closed, you will be directed to a "Rate Reviews" page. On this page, you must provide a simple rating based on the overall usefulness of a reviewer's report. The available selections are:

- Very Useful (=4)
- Useful (=3)
- Somewhat Useful (=2)
- Not Useful (=1)

These ratings will be used over time to generate a score that is representative of a reviewer's overall performance.

This page contains the following (left to right):

- Reviewer name
- Status (Review recommendation). To revisit the review, click on the linked recommendation.
- Rating scale drop-down

Select your rating(s), then hit "Save Ratings".

If you have to leave the system and wish to rate the reviews later, simply search on the manuscript or "Rate Reviewers" process from your queue. Then, click on the "Rate Reviewers" action button. However, you cannot proceed to the First Manuscript Decision page until the ratings section has been completed.

First Manuscript Decision

The First Manuscript Decision page contains the same manuscript header info found on the Peer Review page.

Below the header is a summary of the reviews received along with links to the complete reviews.

A list of decision letters is available to you with a selectable radio button. When you select the decision, an editable email will populate the text box to the right.

Under the "Reviewer Comments to Author" section, each review can be found in a dedicated editable text box. If necessary, you may edit the reviewer comments here. Edits may be appropriate to correct grammatical errors or filter out inflammatory language.

Once you have made your decision and edited the decision e-mail, hit the Submit button. A reject decision will be sent to the author immediately. Other decisions will be held until Staff performs a preproduction review for the manuscript. Your decision and a list of any required copy revisions will be sent to the author in the same e-mail.

| Reviews | Journal | Reviewer | Review Status |
|--|---|-----------------------|---|
| | OE | Carmelita Washington | Accepted with Revisions (8/23/2021 2:48:46 PM) |
| | OE | Keith Jackson | Manuscript Rejected (8/23/2021 2:49:23 PM) |
| | OE | Nicole Williams-Jones | Major Revisions Required (8/23/2021 2:54:05 PM) |
| Decisions | Manuscript Provisional. Major Revis Manuscript Manuscript | Acceptance | Email Subject: Decision for Optics Express Manuscript 402540 Email Body: Manuscript ID: 402540 Type: research article Title: DEMO_Solver-informed neural networks for spectrum reconstruction of colioidal quantum dot spectrometers Author: Dan McDonold; The Optical Society Dear Dr. McDonold: Your manuscript can be accepted for publication in Optics Express provided that you address the reviewer concerns. The reviews are appended below. It is the policy of Optics Express to allow only one revision cycle. Thus, it is important to include as part of your resubmission a detailed response to all of the reviewer points. You will upload your response letter in the online system. Of course, your may not agree with the reviewers on every point and, in that case, your responses and reasoning should be clearly presented. Please note that a response letter is required before a final manuscript decision can be made. The journal staff has reviewed your manuscript to ensure that it adheres to the |
| Editable Review Comments (unless uploaded file) | Reviewer (Reviewer 1: Carmelita Wast | comments to authors | style guidelines of Optics Express. The notes below will advise you of any required format changes. |

Final Manuscript Decision

When an author submits a revision in response to your decision (whether resulting from peer review or rereview), the manuscript will go to the Final Manuscript Decision step.

From your Editor Queue, select the Final Manuscript Decision button in the Action column.

Under the standard header info, you will see the "First Manuscript Decision" section which will contain the reviewer history, first manuscript decision, and the author revision files (revised manuscript, author response, and resubmission cover letter).

If this is the second round of revisions, the following will occur:

The First Manuscript Decision will be stored in an expandable section. Click on the "+" to view these details.
 Comments

First Manuscript Decision

Ð

• The first "Final Manuscript Decision" section will appear, containing a listing of your Final Manuscript Decision and the second round of author revision files.

 Final Manuscript Decision

 Repeat Review (30 Jun 2020)

 Journal
 ReReviewer
 Review Status

 OE
 Liangshun Han
 Manuscript Accepted (6/30/2020 12:29:50 PM)

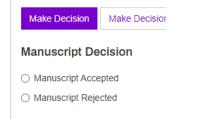
 OE
 Ding Ying
 Manuscript Accepted (7/8/2020 5:28:01 PM)

 Provisional Acceptance (08 Jul 2020)
 Author Response files (23 Aug 2021) :
 . 398118.pdf

If the manuscript underwent rereview and new revisions were requested, you will see the rereview history under the "Final Manuscript Decision" section. See the Rereview section below for more details.

| | _ | Final Mar | nuscript Decision | | |
|----------|---|----------------------|--|---|---------------------------------------|
| Rereview | | Repeat Re Journal | eview (30 Jun 2020) ReReviewer | Review Status | |
| section | | OE | Liangshun Han | Manuscript Accepted (6/30/2020 12:29:50 PM) | |
| | | OE | Ding Ying | Manuscript Accepted (7/8/2020 5:28:01 PM) | |
| | | Author R | I Acceptance (08 Ju esponse files (23 hor Response.pdf (C | Aug 2021) : | Manuscript and Files: • 398118.pdf |

Click on the Make Decision button. Select and edit the decision letter as necessary. Then, hit Submit. A reject decision will immediately be sent to the author. Other decisions will go to staff first for a preproduction review.



Rereview

Editors have the option to send a revised manuscript to rereview at the Final Manuscript Decision stage. Please consider your journal's policy on using rereview.

This rereview option is presented as a button that will automatically drive the manuscript to the rereview stage. Reviewers will have access to the revised manuscript, author response to reviews, revised multimedia files, and the previous reviews.

| Make Decision | Make Decision Recommendation Send for | ReReview |
|---|---------------------------------------|-------------------------------|
| Manuscript Dec | ision | |
| Manuscript Accep Provisional Accep | | Email Subject: Email Body: |
| Manuscript Reject | ted | |

Rereview functions in a similar way as peer review. The standard instructions above regarding assigning reviewers and monitoring peer review apply.

**Note that you will have to re-assign the previous reviewers. They will not be automatically asked to participate in rereview. The previous reviewers will, however, automatically be added to your pool to bypass that process.

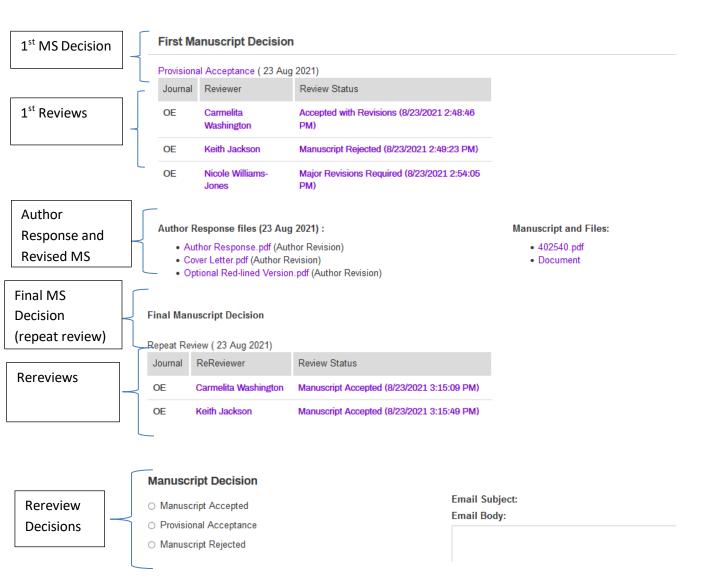
Rereview Decision

Once rereview is complete, close rereview and proceed to the rereview decision page.

Under the standard header info, you will notice two sections:

- First Manuscript Decision: first manuscript decision, first reviews, and the resulting author revision files.
- Final Manuscript Decision: final manuscript decision (=repeat rereview) and rereview history.

As with the First Manuscript Decision page, you can edit the reviewer comments in addition to the decision letter. A reject decision will immediately be sent to the authors. Other decisions will be directed to staff to complete a final preproduction review.



Thanking Reviewers

After First Manuscript Decision and Rereview Decision, you will automatically be driven to the Thank Reviewers page. You can also access the Thank Reviewers page anytime during the life of a manuscript by clicking on the View Details button from your main queue. From the Details page, click on the "Thank Reviewers" link located directly above the process history table at the bottom of the screen.

| т | hank Reviewer | | | | |
|-----|---------------------------------|-----------------------------|----------|----------------|--------------|
| His | story | | | | |
| | · · | | | | |
| | Process | Start Date 🗘 | End Date | Process Result | Completed By |
| | Process Preproduction Review | Start Date 🗘 23 Aug 2021 | End Date | Process Result | Completed By |

On the Thank Reviewers page, you will see a tracking table at the top of the screen. This will assist you with keeping record of those reviewers you have thanked.

Reviewers for this manuscript

| Reviewer | Review Status | Thank You Letter Sent Date |
|----------------------|--|----------------------------|
| Carmelita Washington | Accepted with Revisions (8/23/2021 2:48:46 PM) | 23 August 2021 |
| Keith Jackson | Manuscript Rejected (8/23/2021 2:49:23 PM) | |

To begin the process, first select the reviewer name from the drop-down, then select the thank you type email and make appropriate edits.

Adding other reviews to the thank you email

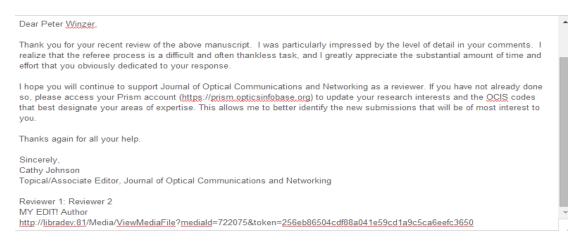
Once select a reviewer from the Reviewer Name drop-down, the comments for the other reviewer(s) will appear at the bottom of the page. Should you be interested in sending the other review to a particular reviewer, check the box. Once you check the box, the reviews will appear in an editable text box to edit any text comments (if provided). Note: uploaded files will appear simply as a url and cannot be edited; these will be sent as a PDF attachment.

This optional feature may prove particularly useful when explaining a decision contrary to a reviewer's recommendation, or perhaps providing an example of a strong review to a reviewer who provide a particularly unhelpful review.

| _ |
|---|
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |

comments

Once you have edited the email and decided whether to include the other review(s), hit the Preview button. View the edited email, then hit Send



Appeals

When authors appeal the rejection of their paper, they will generally send a message to Staff. If you receive an appeal directly from an author, please email it to your Journal Coordinator immediately.

Staff will activate the appeal in Prism. This triggers an email to the author requesting that they access Prism to answer a few questions in support of their appeal.

Once they complete and submit their answers, you will see an Appeal button in your main queue.

| ▲ Manuscript ID | Title | Review Deadline | ► Process ▼ | Current Process Due | Assigned | Action |
|--------------------|--|-----------------|-------------------|---------------------|----------------|-----------------------|
| 👜 OE-402540 | DEMO_Solver-informed neural networks for spectrum reconstruction of colloidal quantum dot spectrometers Author: Dan McDonold Editor: Thomas Gerrits 🛣 | 23 Oct 2021 | Appeal | 30 Aug 2021 | Thomas Gerrits | View Detail Appeal |

Click on the Appeal button to access the Appeal from Author page. This page will provide the typical manuscript header info, the review history, and an Author Response section that lists the author's answers to the appeal questions and the appeal decision option.

After you review the details of the Appeal, select Accept Appeal or Decline Appeal. Both options trigger a notification email to the author.

Author Response

| Appeal answers | scientific and technology innovations in all aspects community. Incremental results that do not convinci this is my appeal argument 1 Question 2: Please describe and argue the technical concise and related to the content of your current m this is my appeal argument 2 Question 3: Please provide a detailed response to th enter the response in the text box or upload a file. see my response attached.Uploaded File | ets the criteria for publication in Optics Express. Note: OpEx publishes peer-rev of optics and photonics. Articles should report original, significant results of intri- ingly add new and important results to the field are not appropriate for Optics Ex I point(s) where the editor might have made an incorrect or incomplete assessme ianuscript only. |
|-------------------|--|---|
| | Appeal Decision | |
| [| Select your decision regarding the appeal. | |
| Appeal | Accepting an Appeal: The manuscript will route back to | o your queue for additional action. If the paper was rejected after peer review/rereview, |
| decision | | hal reviewer or render a new decision to allow the authors a chance to revise the manu |
| option | proceed to manuscript decision page). NOTE: Accepting Review/Rereview step and submit a new decision first. | g an appeal in Prism does not automatically allow the authors to submit revisions. You r |
| | Rejecting an Appeal: This will reinstate the original Rej | ection decision. |
| | Accept Appeal | Subject: |
| | O Reject Appeal | |
| | | Email: |
| | | |

- 1. <u>Reject Appeal</u>. This action reinstates the original reject decision.
- 2. Accept Appeal.

If you accept an Appeal that was rejected at First Manuscript Decision, Prism will send the manuscript back to the Peer Review step.

If you accept an Appeal that was rejected at Rereview Decision or Final Manuscript Decision, Prism will send the manuscript to the Rereview step.

Both will allow you the opportunity to either solicit additional reviews or render a new decision.

- a. Solicit additional reviews. The same functionality as described above for peer review applies here. The previous reviews will be housed in an expandable Previous Reviews section
- b. Render a new decision. If you choose not so solicit additional reviews and are ready to make a new decision, click on the Peer Review button, Prism will default you to the Assign Reviewers tab. To render a decision:
 - i. Click on Monitor Reviewer Activity
 - ii. Click the "Complete Peer Review" button to move to the Decision page.
 - iii. Do not use "Make Immediate Decision" here. These are immediate reject decisions so do not apply in this case.

Editorial Review (Optica, Optica Quantum, and Photonics Research Only)

1. Once a manuscript is assigned to an AE for editorial review, it will appear in the queue under the Editorial Review process. Search on the Editorial Review process from your main queue. Click on the "Editorial Review" button.

| 🔓 Manage My N | Manuscripts | | | | | |
|-------------------------|--|-----------------|-------------------|---------------------|------------|---------------------------------|
| ▲ Manuscript ID ❤ | ▲ Title ✔ | Review Deadline | ▲ Process ✔ | Current Process Due | Assigned | Action |
| DPTICA-402524 | another test of new appeal structure Author: Dan McDonold Editor: Not Assigned | 21 May 2021 | Editorial Review | 26 Jan 2021 | Prem Kumar | View Datail Editorial Review |

2. The AE will be taken to the Editorial Review page. Below the standard manuscript header information, the AE will see two tabs: Conduct Editorial Review and Decline to Handle. The default page is Conduct Editorial Review

| Decline to Handle Conduct Editorial Review |
|--|
| Please read the author's cover letter and view the paper, then give your Editorial Review recommendation. As you review the paper, consider these questions: |
| A. Do the authors make a compelling case for why the work is important? B. Are the research topic and/or results of interest to the broad optics and scientific community? C. If not, you may want to recommend giving the author a Transfer Option. (The paper must be of high technical quality, be within the general topic scope of Opti Publishing Group's Journals, and be of interest to a subgroup of the optics community.) |
| Select Editorial Review Decision |
| O Approved |
| O Transfer Option |
| O Declined |
| Comments to Authors – Associate Editors are asked to please include: 1. A brief description of what the authors have done, 2. Any technical problems you see with 3. Why this paper may not meet <i>Optica</i> 's criteria for broad impactinclude citations when possible. |
| Comments to Editor – Please include any information not appropriate for transmission to the authors here. |
| |
| Submit Recommendation |

a. Conduct Editorial Review

- This page provides basic instruction on the editorial review process. Once the editorial review is complete, select one of the three recommendations
- Provide supporting comments
- Hit the Submit Recommendation button

The manuscript will stay in the AE's queue until it moves from the Editorial Review stage. The "Editorial Review" button will be removed, and the AE will see their name added to the "Assigned" column with a check mark indicating the comments were successfully submitted. Any other assigned editors for the editorial review will also appear.

| Manuscript ID ¥ | ► Title ▼ | Review Deadline | ► Process ▼ | Process Due ❤ | Assigned | Action |
|-----------------------|--|--------------------|-------------------|---------------------|-----------------------------------|-------------|
| 0PTICA- 402140 | Reconfigurable and low-power consumption polarization rotating beam splitter with EIT-like effect based on SOI ridge waveguide Author: Guohua Hu Editor: Not Assigned | 7 Nov 2020 | Editorial Review | 12 Jul 2020 | Thomas Krauss Misha Sumetsky ✔ | View Detail |

b. Decline to Handle

If the AE cannot manage the editorial review process for a particular paper, then they must use the option to decline.

SUBMIT

- Click on the "Decline to Handle" button.
- Select one of the three reasons
- Provide supporting comments (eg, on vacation)
- Hit the Submit button

This will be registered in the Editor-in-Chief's editorial review tracking tool.

| Not Expertise Conflict of Interest mment: | |
|---|-----|
| omment | |
| | |
| | |
| | .:: |

Transferred Manuscripts (from Optica, Optica Quantum, and Photonics Research)

Should an *Optica, Optica Quantum,* and *Photonics Research* manuscript be transferred and later assigned to you to handle peer review, you have three choices:

- 1. Render a decision based on the current Optica reviews
- 2. Request another review from the original Optical reviewers
- 3. Continue peer review and seek additional reviews

Click <u>here</u> for Optica Publishing Group's transfer guidelines. You would manage either option in Prism in the same manner as a typical manuscript.

A transfer specifically from *Optica* will be indicated by a red flag that appears next to the manuscript number in the main queue and next to the title in the manuscript details info. Transfers from *Optica Quantum* and *Photonics Research* will be accompanies by a "Cascade from..." comment in the Staff/Editor Comments section of the manuscript details.

| OE-260100 | Intracavity characterization of micro- comb generation in the single-soliton regime Author: <u>Pei-Hsun Wang</u> Editor: Dingyuan Tang 🔀 |
|-----------|--|
| Commenter | Comment |
| System | Manuscript cascaded from Photonics Research |
| Add | |

Additionally, if transferred with peer reviews, a "Previous Reviews" section will now appear on the Peer Review page, directly above the Assign Reviewers section. Click on the "+" to the right of the section to expand it if collapsed.

The previous reviews will also appear on the First Manuscript Decision page. This allows you the opportunity to include these in the decision should the authors still need to address particular items in these reviews.

have been made to the manuscript, and their location, in your response. You will copy and paste your response into a designated comment text box provided online, or if you prefer you may upload your response file in the space provided. Failure to provide a list of revisions and indicate the changes in the revised manuscript will result in delay in the publication or rejection of the manuscript. Of course, you may not agree with the

Reviewer Comments to Author (if necessary, edit reviewer comments before hitting "Submit")

Reviewer 1 You still need to address items one and two of this review from <u>Optica</u>. http://prismtest.osa.org/Media/ViewMediaFile?mediaId=1319414&token=5a09e185d15ac46d400a8d59023653d51ab540eb

Transparent Peer Review (only Optics Continuum, Optica, and Optica Quantum)

A unique feature of *Optics Continuum, Optica, and Optica Quantum* is the opportunity for authors and reviewers to agree to publish the peer review correspondence (decision letters, reviewer comments, and author response to reviews). This transparent peer review option is applicable to direct submissions as well as to manuscripts that were transferred *without* peer review.

Below is a general Prism overview of the process.

Author Module

1. At the time of submission, authors are notified of the transparent review policy and that they will be given an opportunity to approve/decline for their paper at the manuscript proofs step and that all reviewers must authorize publishing reviewer comments.

Publish Reviewer Comments

This journal enables publication of the decision letter, reviewer comments, and author response with the accepted paper. I understand that I will decide whether to publish this peer review correspondence after I have read and responded to the reviews. I also agree to ensure that all of my coauthors are informed before giving final approval. I understand that the reviewers must also authorize publication of their peer review comments.

Reviewer Module

2. Reviewers are asked on the Prism review page if they wish to have their comments published. All reviewers must agree to publish their reviews for the author to be granted the option after acceptance.

Comments to Authors

If this manuscript is accepted, I agree to allow the anonymous publication of my manuscript ratings and review comments to authors. I understand that the editor may omit any inappropriate comments prior to publication. I understand that the author(s) and other reviewers must also authorize publication of their peer review comments.

Editor Module

3. The Reviewer's permission status will be denoted by a person icon colored green (for approval provided) and red (for no approval provided). In order to publish peer reviews, the authors and *all* reviewers must agree. If a single reviewer does not approve, then the reviews will not be published.

a. Monitor Review Activity section of Peer Review page

| Reviewer | Request Status | Request Status Send Request Reminder | | Send Review Reminder | Close Individual Review | Complete Review |
|--------------|--|--------------------------------------|---|----------------------------|-------------------------------|--------------------|
| | | | | Send Last Call Reminder | Review | |
| Anatole Lupu | Sent (11 Jun 2020) More Time Approved (15 Jun 2020) | | Accept with Minor/Optional Revisions (03 Jul 2020) 🛓 | | Reopen | |
| Aaron Danner | Sent (11 Jun 2020) Too Busy (15 Jun 2020) 🟴 | | | | Reopen | |

b. Manuscript Decision pages

| Manuscript Accepted Provisional Acceptance Major Revisions Required Manuscript Rejected Manuscript Rejected (Incr Results/Duplicate Submiss | emental | Email Subject: Email Body: | |
|---|--|-----------------------------------|--|
| Reviewer Comments to Reviewer 1: Kelly Cohen * | Author (if necessary, edit reviewer of This is Kelly Cohen's review. | comments before hitting "Submit") | |
| Reviewer 2: Keith Jackson 🏜 | This is Keith Jackson's review. | | |

Optics Continuum Transferred (Cascaded) Papers

Authors of rejected papers have the option of transferring (cascading) their submission to *Optics Continuum*. The transfer can happen for papers rejected without review and rejected after peer review.

1. If an editor has been assigned a paper that was transferred from another Optica Publishing Group journal, this will be indicated in the Staff/Editor Comments section of Prism

| Staff & Editor Comments | Commenter | Comment | Date | Edit |
|-------------------------|----------------------|--|-------------|------|
| | Kurt Ashley Daganzo | I will need at least one more weekAirán | 06 Jul 2020 | 1 |
| | Ayman HOBLOS | Manuscript cascaded from PRJ | 09 Jun 2020 | 1 |
| | Joanne Valerie Frial | Please see cover letter under additional files for peer review preferences. | 26 May 2020 | 1 |
| | Add | | | |

2. Transferred papers with previous peer reviews will include a "Previous Reviews" section on the Peer Review page. Editors are encouraged to render a decision based on the previous reviews. If there is a need to seek additional reviewers, then consider using one of the previous ones. The editor will need to search on the reviewer name in the Search Reviewers area (see page 11).

| Journal | Reviewer | | Request Status | Review Status |
|---------|----------|---|----------------------------------|--|
| | | 1 | More Time Approved (08 Feb 2018) | Major Revisions Required (24 Feb 2018) |
| | | | Too Busy (05 Feb 2018) | |
| | | | More Time Approved (06 Feb 2018) | Major Revisions Required (05 Mar 2018) |
| | | | Too Busy (05 Feb 2018) 💻 | |
| | | | Agree (08 Feb 2018) | Reject manuscript (18 Feb 2018) |
| | | | Agree (06 Mar 2018) | <u>Closed</u> (22 Mar 2018) |
| | | | More Time Approved (13 Feb 2018) | Accept with revisions (04 Mar 2018) |
| | | | Agree Rereview (12 Apr 2018) | Manuscript Accepted (13 Apr 2018) |
| | | | Agree Rereview (16 Apr 2018) | Manuscript Accepted (16 Apr 2018) |
| | | | Agree Rereview (16 Apr 2018) | <u>Closed</u> (25 Apr 2018) |
| | | - | | |

Individual Editor Activity Report

The individual editor activity report provides the number of papers assigned to an editor, the elapsed time (in calendar days) for various steps, and the acceptance/rejection rate in the date range selected. The report also contains comparative data for an editor to gauge their performance against the average across all editors for that journal.

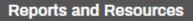
A brief explanation on the stats columns is below:

Days to contact 1st reviewer starts with date manuscript is assigned.

<u>Days in peer review</u> and <u>Days in re-review</u> encompass elapsed time from when peer review opens until it is closed by the editor (does not include first or final decision).

Day for first manuscript decision starts with date editor closes peer review and ends when decision is submitted. Days for final manuscript decision starts with date revision received and ends on date editor submits the decision. <u># Papers Active</u> indicates those papers that are still in editorial consideration (not accepted, rejected or inactive). <u># Papers Completed</u> indicates those papers that were accepted or rejected by the editor.

1) From Main queue, click on "Reports and Resources" in the upper right corner of your screen



2) Click the "Individual Editor Activity" button

Reports

Select report to run; then select parameters, as necessary, below.

Individual Editor Activity

3) On the ensuing page, enter the editor start date and editor end date. These dates indicate the range in which papers were assigned to you at peer review. For example, entering start date 1/1/2015 and end date 12/31/2015 will pull up statistics on all papers assigned to you during the year 2015. If your range crosses multiple years, each year will be on a separate row in the report.



4) The report will pull up activity in two sections:

- a. The individual editor's statistics
- b. The Comparison data across all editors for that journal

| Edi | tor Name | Journal 🗘 | Year ‡ | # Papers \$ Assigned | % of Total MS | Avg Days to Contact 1st Reviewer | Avg Days in Peer Review | Avg Days in 1st MS Decision | Avg Days in Final MS Decision | Avg Days Re- review | # Papers \$ Re- review | % Revised MS to Re- review | # Papers ≎ Active | # Papers \$ Completed | % ‡ Accept | % \$ Reject |
|-----|----------|-----------|--------|-------------------------|---------------------|--|----------------------------------|--------------------------------------|---|------------------------------|------------------------------|--|----------------------|--------------------------|---------------|----------------|
| | | | 2015 | 74 | 4 1.: | 2 8 | 28 | C |) | 4 1 | 4 8 | 10 | 2 | 72 | 41.0 | 58.0 |

Comparison data

| Journal \$ | Year ‡ | # Papers Assigned | Avg Days to Contact 1st Reviewer | | Avg Days in 1st MS Decision | Avg Days in Final MS Decision | Avg ‡ Days Re- review | review | % Revised MS to Re- review | # Papers \$ Active | # Papers Completed | %Accept \$ | %Reject \$ |
|------------|--------|----------------------|--|------|--------------------------------------|---|--------------------------------|--------|--|-----------------------|-----------------------|------------|------------|
| | 2015 | 6317 | 5 | 5 31 | 0 | 3 | 15 | 793 | | 3 106 | 6151 | 50.1 | 49.9 |

5) To Export this report into another format (eg, Excel, PDF, Word):

- a. Click on the floppy disk icon, directly below the start date/end date parameters.
- b. Select the desired format. The system should automatically export the file.

